



# agency application

any questions or queries? Call us free: 0800 810 1888

SFS reference:

**(please complete all sections in ink and block capitals, then complete and sign on page 5)**

Title  Your name

Company name  (the Introducer)

Your position  Business type

Full address

Postcode

Office tel. No.  Mobile tel. No.

Fax. No.  Other tel. No.

E-mail

Web-site

Are you authorised by the Financial Conduct Authority? YES  NO

Are you Directly Authorised? YES  NO  Are you an A.R.? YES  NO

If an A.R., name of principle firm?

FCA Registration No.  DPA Registration No.

Your sources of new business?

Which name would you like us to pay your commission to?

Bank account number if commission payments to be made via BACS  Sort Code

**(now sign on the page 5)**

THIS AGREEMENT is dated \_\_\_\_\_ of \_\_\_\_\_ 20\_\_\_\_ and is between:

1. The individual or partnership or company shown on page one of this Agency Application (the "Introducer"); and
2. Specialist Financial Services Limited, a company registered in England and Wales under company number 05309450 and with its registered office situated at 119 The Hub, 300 Kensal Road, London, W10 5BE (the "Broker") (each referred to in this Agreement as a "party" and, together, as the "parties").

## RECITALS

- (A) The Introducer has customers some or all of whom may be interested in obtaining finance.  
(B) The Broker is in the business of sourcing finance from a range of lending institutions.

## AGREED TERMS

### 1. Interpretation

The following definitions and rules of interpretation in this clause apply in this Agreement.

#### 1.1. Definitions:

**"Agreed products"** means Bridging Loans, Buy to Let Mortgages, Commercial Mortgages, Unsecured Loans and Second Charge Loans;

**"Applicable Regulatory Requirements"** means any law, rule, regulation or guidance issued by any regulating body to which any party to this Agreement is subject and which is intended to affect, or which has the effect of affecting, in any way the conduct of its affairs in respect of matters the subject of this Agreement, whether or not those matters are obligations to which it is subject under this Agreement;

**"Applicant"** means each person named in an Application who, as a result of finance being made pursuant to the Application would (or has) become the borrower or creditor in respect of such finance;

**"Application"** means an application from a customer of the Broker for an Agreed Product;

**"Associated Company"** means in relation to a company (the first company) which is a party, any company which is the holding company of the first company or which (not being the first company) is a subsidiary of any such holding company and/or of the first company, 'holding company' and 'subsidiary' having the meaning ascribed thereto by section 1159 of the Companies Act 2006);

**"Authorisations"** means the licences, consents and approvals referred to in clauses 6.1.1, 6.1.2 and 6.1.3;

**"Bridging Loans"** means loans, whether or not regulated by the FCA, made to individuals, private limited companies and/or partnerships that, when first advanced, have a term of 18 months or less, secured on commercial property or residential property which is to be let by the borrower on arms' length terms to other persons for residential use by such persons;

**"Broker Fee"** means that amount that the Customer has agreed to pay to the Broker in respect of a Relevant Loan; ;

**"Buy to Let Mortgages"** means loans, whether or not regulated by the FCA, made to individuals, private limited companies and/or partnerships and secured on residential property which is to be let by the borrower on arms' length terms to other persons for residential use by such persons;

**"CCA"** means the Consumer Credit Act 1974;

**"Clawback Period"** means any period described by the Lender beginning with the day (being the date on which the monies are paid out under the Agreed Product) on which the relevant Agreed Product is made available to the Applicant;

**"Commercial Mortgages"** means loans, whether or not regulated by the FCA, made to individuals, private limited companies and/or partnerships and secured on commercial property occupied by the borrower or let by the borrower to other persons for commercial use by such persons;

**"Commission"** means the remuneration to be paid by the Broker to the Introducer in respect of the introduction by the Introducer of customers to the Broker and otherwise in connection with the performance by the Introducer of its obligations under this Agreement, the same being calculated in the manner set out in Schedule 4;

**"Customer"** means a customer of the Introducer to whom the Broker has provided, or agreed to provide or is considering providing, a Loan pursuant to an Application;

**"Customer Terms"** means the rates and/or other contractual terms on which the Broker has determined its Lender may be willing to provide a Loan to suitable Applicants;

**"Data Controller"** has the meaning ascribed to it in the DPA;

**"Data Protection Principles"** means the principles so described in the DPA;

**"DPA"** means the Data Protection Act 1998;

**"Disclosed"** means fully and fairly disclosed to the Broker in the relevant Application;

**"Enquiry"** means an enquiry from a customer of the Introducer for finance;

**"FCA"** means the Financial Conduct Authority and any successor thereto in relation to its relevant functions, duties or obligations;

**"FSMA"** means the Financial Services and Markets Act 2000;

**"Intellectual Property"** means all copyright and related rights, trade marks (including the Trade Marks), service marks (including the Trade Marks which are service marks), trade, business and domain names, rights in trade dress or get-up, in each case whether registered or unregistered and including all applications for and renewals or extensions of the same, and all similar or equivalent rights or forms of protection in any part of the world;

**"Introducer Details"** means that information which the Introducer has supplied to the Broker which is set out in previously on this document;

**"Lender"** means the company that the Broker approaches to provide finance ;

**"Lending Criteria"** means the criteria as established by the Lender at the relevant time to assist it in determining whether or not to provide an Agreed Product to an Applicant

**"Marketing Materials"** means any material, in whatever medium embodied, made available by, for or on behalf of the Introducer which is intended to invite or encourage a person to use the services of the Broker in procuring the provision to such person of any Loan and all other communications (including oral communications) between the Introducer and a customer thereof in relation to any finance;

**"Permitted Use"** means the use of the Trade Marks in the manner, if any, set out in referred to in Part B of Schedule 2;

**"Personal Data"** has the meaning ascribed to it in the DPA;

**"Processing Fee"** means any amount that the Customer has agreed to pay to the Broker to cover third party costs in respect of a Relevant Loan;

**"Relevant Loan"** means a loan arranged by the Broker to a customer of the Introducer pursuant to an Application which has been referred by the Introducer to the Broker and shall include any amount to be advanced including fees;

**"Secured Loan"** means a loan that is secured on real property, whether or not regulated by the FSMA or the CCA; and

**"Trade Marks"** means those trade marks, if any, referred to in Part A of Schedule 2.

**"Unsecured Loan"** means a loan that is not secured on real property, whether or not regulated by the FSMA or the CCA; and

1.2. Clause, Schedule and paragraph headings are inserted for convenience only and shall not affect the interpretation of this Agreement.

1.3. References to clauses and schedules are to the clauses of, and schedules to, this Agreement.

1.4. The Schedules form part of this Agreement and shall have effect as if set out in full in the body of this Agreement. Any reference to this Agreement includes the Schedules.

1.5. Unless the context otherwise requires, words in the singular shall include the plural and in the plural include the singular.

1.6. Unless the context otherwise requires, a reference to one gender shall include a reference to the other genders.

1.7. A reference to a statute or statutory provision is a reference to it as amended, extended or re-enacted from time to time and shall include all subordinate legislation made from time to time under that statute or statutory provision.

1.8. A reference to writing or written includes faxes but not e-mail.

1.9. Any words following the terms including, include, in particular or any similar expression shall be construed as illustrative and shall not limit the sense of the words, description, definition, phrase or term preceding those terms.

## 2. Obligations of the Introducer

- 2.1. The Introducer will, using due care and skill and in accordance with all Applicable Regulatory Requirements deal with Customers, procure the information required for the Broker to present applications to a lender as the Broker deems fit.
- 2.2. The Broker shall be under no obligation to present any Application to a Lender.
- 2.3. Without prejudice to its obligations under clause 2.1 the Broker will notify the Introducer of the amount of the Broker Fee charged in respect of each Application that the Broker presents to a Lender.
- 2.4. The Introducer warrants that all Marketing Materials which refer to the Broker and/or any Intellectual Property of the Broker (including the Trade Marks) will comply with the Applicable Regulatory Requirements.
- 2.5. The Introducer warrants that all Marketing Materials to which any Applicant has been exposed complied with the Applicable Regulatory Requirements at the relevant time and that where later amendments to the Applicable Regulatory Requirements so require, any further steps have been taken to ensure that no Applicant can successfully claim that any Applicable Regulatory Requirement relevant to his dealings with the Introducer and the Application and has not been complied with.
- 2.6. The Introducer warrants in respect of each Enquiry or Application:
  - a. that the same has been prepared and submitted with reasonable skill and care and in accordance with all Applicable Regulatory Requirements;
  - b. that at the time of submission thereof the information set out in the Introducer Details is true and complete and that there is not omitted from the Introducer details any matter material to the Broker's ability to form a judgement in respect of the fitness or ability of the Introducer to procure, prepare and submit Applications to the Broker pursuant to, and on the terms of, this Agreement;
  - c. that, prior to submission thereof, it shall have used its reasonable endeavours to establish the true identity of any Applicant;
  - d. that, prior to submission thereof and at a time as close as reasonably practical to such submission, it shall have satisfied itself by reference to those sources reasonably available to it that any Applicant is able to afford all sums payable (including any and all fees and disbursements) in respect of the Loan the subject of the Application;
  - e. that, prior to submission thereof, it shall have used its reasonable endeavours to satisfy itself that all information supplied by the Introducer to the Broker in respect of the Application and the Applicant is true;
  - f. that there is no material information which might affect the decision of a Lender to lend to the Applicant, or the terms on which it was willing to lend to the Applicant, of which it or any of its Associated Companies is aware, or which, on reasonable enquiry, it or any of them should have become aware of, which it has not Disclosed to the Broker; and
  - g. that should, following submission of an Application, a matter come to its attention or that of one of its Associated Companies which might reasonably be expected to influence a lending decision of a Lender, including but not limited to the amount of a loan or terms thereof or security thereof, the Introducer will forthwith bring the same to the attention of the Broker in a manner which clearly identifies any Applications and/or Applicants to which such information is or may be relevant.
- 2.7. The Introducer shall at its own expense offer to the Broker such reasonable assistance as the Broker may require in responding to any question or allegation made to it by any Applicant or by a regulator or court of competent jurisdiction where the scope of such question or allegation or the proposed response to it of the Broker relates to any action or omission of the Introducer in respect of matters the subject of, or referred to in, this Agreement.
- 2.8. The Introducer agrees to inform the Broker at the earliest stage possible if a fee has been charged to the applicant, so all fees can be demonstrated to the Applicant on all relevant paperwork;
- 2.9. The Introducer agrees that where a Customer has completed an advance through the Broker, the Introducer will not solicit the Customer during any Clawback Period, with the intention of redeeming the advance completed through the Broker.

## 3. Obligations of the Broker

- 3.1. Applications presented by the Introducer to the Broker pursuant to clause 2.1 which meet the Lending Criteria of a Lender will be processed by the Broker on, and subject to, the terms of this Agreement.
- 3.2. The Broker reserves the right to reject any Application presented to it by the Introducer and shall have no liability to the Introducer as a result of any such rejection save to the extent that the Broker has breached this Agreement in respect of the Application.
- 3.3. If the Broker receives an Application from the Introducer which appears to the Broker to be identical or similar to another application received by the Broker from either the Introducer or a third party in the preceding 90 days, the Broker will be entitled to process the application first received to the exclusion of any others. An Application may be treated by the Broker as being similar to another application if the applications have at least one applicant in common, even if the applications are not for similar amounts or are not similar in any other respect.
- 3.4. The Broker shall, using due care and skill, deal promptly and efficiently with all Applications referred to it by the Introducer which meet the Lending Criteria of a Lender;
- 3.5. The Broker shall keep the Introducer informed of the progress of all Applications referred to it by the Introducer and shall provide to the Introducer promptly and without charge such information in respect of such Applications and in respect of Relevant Loans as the Introducer shall reasonably request from time to time.

## 4. Introducer Commission

- 4.1. If the Broker is able to successfully obtain a loan for a Customer, the Introducer will be notified of the amount of commission due prior to loan completion and the Introducer will receive commission from the Broker usually within twenty-four hours of funds being released to the Customer, subject to receiving written confirmation of the Introducer's bank details;
- 4.2. The Introducer understands that the Company will pay commission for all funded loans from the Introducer at the agreed commission structure. In the event that a lender claws back commission, such clawback will be passed on to the Introducer and shall become immediately repayable.

## 5. Set off

Either party may set off against amounts owed by it to the other party pursuant to this Agreement amounts owed to it pursuant to this Agreement by the other party.

## 6. Authorisations and compliance

- 6.1. Each party warrants to the other:
  - a. that at any time at which it is processing Applications it will maintain in force a valid authorisation under the FCA to the extent required by the Applicable Regulatory Requirements;
  - b. that it will maintain in force an appropriate notification under the DPA at any time at which it is processing personal data (as defined in the DPA) in relation to any Application;
  - c. that it will maintain in force such other licences, consents and approvals, and make on a timely basis any notifications or returns it is required to make, necessary for it to be able to perform its obligations under this Agreement and as may otherwise be required in relation to that party undertaking the activities it undertakes in connection with this Agreement; and
  - d. in performing its obligations under this Agreement or otherwise undertaking activities in connection with this Agreement, it will comply with all other Applicable Regulatory Requirements.
- 6.2. Each party will produce to the other such evidence of its Authorisations as the other may reasonably request.
- 6.3. Each party will notify the other party promptly:
  - a. of any correspondence or other notification that it receives from any relevant enforcement or regulatory body which alleges any failure by it to observe any Applicable Regulatory Requirements insofar as such alleged failure is relevant to its performance of this Agreement or anything else done or to be done in connection with it;
  - b. of any event or circumstances known to it in relation to any matter relevant to this Agreement which, were they known to the relevant authority or regulator, might reasonably be expected to give rise to such correspondence or other notification; and
  - c. if any authority or licence in the absence of which it is impossible or not reasonably practicable for it to consider Applications is revoked or it has reason to believe may be revoked or not renewed when the same falls due for renewal.

## 7. Data processing and transfer

- 7.1. The parties acknowledge that, for the purposes of the DPA, immediately upon the supply by one to other of personal data (as that term is defined in the DPA) in relation to an Application the recipient of such personal data shall become the Data Controller (as that term is defined in the DPA) of such personal data so transferred.
- 7.2. Each party warrants to the other that before the provision by it to the other of any personal data (as that term is defined in the DPA) it will have obtained all relevant consents from the data subject (as that term is defined in the DPA).

## 8. Intellectual Property

- 8.1. The Broker authorises the Introducer to use the Trade Marks for the Permitted Use on and subject to the terms of this Agreement.
- 8.2. The Introducer acknowledges:
- that Intellectual Property includes, but is not limited to, the Introducer Pack and promotional material provided within the Broker's marketing toolbox.
  - that it does not have any right, title or interest in the Intellectual Property save as specifically set out in this Agreement; and
  - that any goodwill (and any other rights) in the Intellectual Property which result from the use by the Introducer of the Intellectual Property shall vest in the Broker.
- 8.3. If the Introducer learns of any threatened or actual infringement of the Intellectual Property, or of any circumstance which suggests that the use of the Intellectual Property may infringe the intellectual property of a third party, it shall immediately inform the Broker, giving all such details as the Broker requests.
- 8.4. The Broker shall have conduct of any proceedings relating to the Intellectual Property and may take whatever action it, in its sole discretion, decides in respect of any infringement or alleged infringement of it, or arising from its use. Any rights that the Introducer has under section 30 of the Trade Marks Act 1994 are excluded. The Introducer shall co-operate with the Broker in taking such action and the Broker shall meet any reasonable expenses of the Introducer in doing so.
- 8.5. The Introducer shall:
- not apply for registration of any of the Intellectual Property (or any intellectual property that is confusingly similar to the Intellectual Property) in its own name, in any part of the world;
  - comply with all requests by the Broker as to the use of the Intellectual Property;
  - not license (or purport to license) any other person to use any of the Intellectual Property;
  - not use the Intellectual Property other than as specifically permitted by this Agreement;
  - agree not to use material and ideas contained within the Broker's Marketing Toolbox to submit business to competitors of the Broker
  - not use any intellectual property that is confusingly similar to the Intellectual Property;
  - not do anything that may adversely affect the Intellectual Property or the Broker's right or title to it; and
  - at the date on which this Agreement is terminated or at any other time on receipt of a request by the Broker to do so:
    - immediately stop any use of the Intellectual Property (including the Trade Marks); and
    - destroy or deliver up to the Introducer for destruction any advertising or promotional material or packaging embodying any of the Intellectual Property (including the Trade Marks).

## 9. Nature of relationship etc.

- 9.1. The arrangement between the parties is non-exclusive and, accordingly, the Introducer may deal with other Brokers or packagers and the Broker may deal with other introducers.
- 9.2. Nothing in this Agreement is intended to, nor shall it be deemed to, establish any partnership or joint venture between the parties, constitute either party the agent of the other party, or otherwise authorise either party to make or enter into any commitments for or on behalf of the other party.
- 9.3 The Introducer agrees to the Broker sending information that the Broker believes will be of interest to the Introducer by post, facsimile, SMS text and/or e-mail. In the event that information is not required, it is the Introducer's responsibility to confirm in writing which of these methods you do not want us to use.

## 10. Term and termination

- 10.1. This Agreement shall commence on the date set out above and shall continue until terminated in accordance with this clause 10.
- 10.2. Either party may terminate this Agreement at any time on not less than 30 days' notice to the other.
- 10.3. Without prejudice to any rights that may have accrued under this Agreement, or to any other of the rights or remedies of the parties, either party may at any time terminate this Agreement with immediate effect by giving notice to the other party if:
- the other party commits a material breach of any term of this Agreement and (if such breach is remediable) fails to remedy that breach within a period of 28 days after being requested in writing to do so by the party seeking to terminate; or
  - it reasonably believes that its relationship with the other party has had, is having or will have an adverse effect on its reputation; or
  - the other party repeatedly breaches any of the terms of this Agreement in such a manner as to reasonably justify the opinion that its conduct is inconsistent with it having the intention or ability to give effect to the terms of this Agreement; or
  - the other party fails to obtain or maintain in force or has revoked any approval, licence or consent in the absence of which that other party is unable to fulfil, or is reasonably likely in the future to be unable to fulfil, one or more of its obligations under this Agreement.

## 11. Consequences of termination

- 11.1. Save where this Agreement shall have been terminated:
- summarily in the circumstances set out in clause 10.3.a, clause 10.3.c or clause 10.3.d; or
  - in other circumstances where the continuing processing of Applications shall place the Broker in breach of any Applicable Regulatory Requirements; the Broker shall continue to process or consider any Application submitted to the Broker by the Introducer prior to such termination as if such termination had not occurred.
- 11.2. Termination of this Agreement shall not affect the accrued rights, remedies, obligations or liabilities of the parties existing at termination.
- 11.3. Any provision of this Agreement which expressly or by implication is intended to come into or to continue in force on or after termination of this Agreement, including clause 1, clause 3.4, clause 4, this clause 11, clause 12 and clause 14, shall survive the termination of this Agreement.

## 12. Indemnity and limitation of liability

- 12.1. The Introducer shall indemnify the Broker against all liabilities, costs, expenses, damages and losses (including any direct loss, loss of reputation and all interest, penalties and legal and other reasonable professional costs and expenses) suffered or incurred by the Broker arising out of or in connection with:
- any breach by the Introducer of any term of this Agreement;
  - any award made or fine levied against, or compensation paid by the Broker to the extent made, levied or paid as a result of any act or omission of the Introducer;
  - any Loan being unenforceable as a result of any act or omission of the Introducer;
  - a fraudulent application which the Introducer knew or ought reasonably to have suspected involved fraud.
  - any act or omission of the Introducer giving rise to an Unfair Relationship under the meaning of S140A CCA.
- 12.2. Nothing in this Agreement shall limit or exclude the liability of either party for:
- death or personal injury resulting from negligence;
  - fraud;
  - fraudulent misrepresentation; or
  - loss of, or damage to, immovable property.

## 13. Third parties

- 13.1. Save that any Associated Company shall have the right to bring an action in respect of any adverse consequence to it of a breach of clause 14 as if the obligations under that clause were obligations directly to it in that respect, a person who is not a party to this Agreement shall not have any rights under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this Agreement.
- 13.2. The rights of the parties to terminate rescind or agree any variation, waiver or settlement under this Agreement is not subject to the consent of any person that is not a party to this Agreement.

**14. Confidentiality**

- 14.1. Each party undertakes to the other that it shall not at any time during the term of this Agreement, nor for a period of two years after termination of this Agreement, howsoever occurring, disclose to any person any confidential information concerning the business, affairs, customers, clients or suppliers of the other party, or of any Associated Company of the other party including this Agreement and its content, the Lending Criteria the Underwriting Procedure Manual and the terms on which the Broker has made and is willing to make loans available, except as permitted by Clause 14.2.
- 14.2. Each party may disclose the other party's confidential information:
  - a. to such employees, officers, representatives or advisers of it, or of any of its Associated Companies, who need to know such information for the purposes of enabling the party to perform its obligations under this Agreement or otherwise to undertake activities in connection with this Agreement;
  - b. as may be required by law, court order or any governmental or regulatory authority or to enable any person referred to in Clause 14.2.a. to meet their statutory obligations including obligations under any Applicable Regulatory Requirements.
- 14.3. Each party shall ensure that any person referred to in Clause 14.2.a to whom it discloses the other party's confidential information complies with this Clause 14.
- 14.4. Neither party shall use the other party's confidential information for any purpose other than in connection with the performance of its obligations under this Agreement or in respect of matters reasonably ancillary to that purpose.
- 14.5. Each party acknowledges that damages alone would not be an adequate remedy for the breach of any of the provisions of this clause 14. Accordingly, without prejudice to any other rights and remedies it may have, a party whose confidential information is disclosed in breach of this clause 14 shall be entitled to seek the granting of equitable relief (including without limitation injunctive relief) concerning any threatened or actual breach of any of this clause 14.

**15. Announcements**

Neither party shall make, or permit any person to make, any public announcement concerning this Agreement without the prior written consent of the other party, except as required by law, any governmental or regulatory authority (including, without limitation, any relevant securities exchange), any court or other authority of competent jurisdiction.

**16. Entire agreement**

- 16.1. This Agreement constitutes the whole agreement between the parties and supersedes all previous agreements between the parties relating to its subject matter.
- 16.2. Each party acknowledges that in entering into this Agreement it has not relied on, and shall have no right or remedy in respect of, any statement, representation, assurance or warranty (whether made negligently or innocently) other than as expressly set out in this Agreement.

**17. Variation**

Save as stated in this Agreement to the contrary, no variation of this Agreement shall be effective unless it is in writing and signed by the parties (or their authorised representatives).

**18. Invalidity and severability**

If a provision of this Agreement (or part of any provision) is found illegal, invalid or unenforceable the parties shall negotiate in good faith to amend such provision such that, as amended, it is legal, valid and enforceable, and, to the greatest extent possible, achieves the parties' original commercial intention.

**19. Notice**

- 19.1. Any notice or other communication required to be given under this Agreement shall be in writing and shall be delivered personally, or sent by pre-paid first-class post or recorded delivery or by commercial courier, to the intended recipient at the address set out above (or any substitute address notified by the relevant party for that purpose in writing in the manner stipulated in this clause 19) and marked for the attention of the Company Secretary or as otherwise specified by the relevant party by notice in writing to the other party provided that in the case of a notice sent by fax the same shall be sent:
  - a. in the case of a fax intended for the Introducer to the number held within the Broker's records; and
  - b. in the case of a fax intended for the Broker to 01442 873847 or 0800 810 1005.
- 19.2. Any notice or other communication shall be deemed to have been duly received:
  - a. if delivered personally, when left at the address and for the contact referred to in this clause; or
  - b. if sent by pre-paid first-class post or recorded delivery, at noon on the second Business Day after posting; or
  - c. if delivered by commercial courier, on the date and at the time that the courier's delivery receipt is signed; or
  - d. if sent by fax, at the time of transmission.
- 19.3. A notice or other communication required to be given under this Agreement shall not be validly given if sent by e-mail.
- 19.4. The provisions of this clause 19 shall not apply to the service of any proceedings or other documents in any legal action.

**20. Counterparts**

This Agreement may be executed in any number of counterparts, each of which when executed and delivered shall constitute an original of this Agreement, but all the counterparts shall together constitute the same agreement. No counterpart shall be effective until each party has executed at least one counterpart.

**21. Law and jurisdiction**

- 21.1. This Agreement and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims) shall be governed by and construed in accordance with the law of England and Wales.
- 21.2. The parties irrevocably agree that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with this Agreement or its subject matter or formation (including noncontractual disputes or claims).

Signed for and on behalf of The Introducer

Signed  \_\_\_\_\_

Full name \_\_\_\_\_

Date \_\_\_\_\_ Job title \_\_\_\_\_

Signed for and on behalf of Specialist Financial Services Limited

Signed \_\_\_\_\_

Full name \_\_\_\_\_

Date \_\_\_\_\_ Job title \_\_\_\_\_

Schedule 1. Introducer Details

Individual's name:

Company name:

Company address:

  
  

Registered office:

  
  

Company Registration No.:

DPA Notification Number:

FCA Registration number:

FCA Permissions:

- Credit brokerage
- Provision of debt-adjusting on a commercial basis
- Provision of debt-counselling on a commercial basis
- Arranging and advising on Regulated Mortgage Contracts

Schedule 2. Trademarks



Specialist Financial Services

